DENISE VOIGT CRAWFORD SECURITIES COMMISSIONER

JOHN R MORGAN
DEPUTY SECURITIES COMMISSIONER

Mail P O BOX 13167 AUSTIN. TEXAS 78711-3167

Phone: (512) 305-8300 Facsimile: (512) 305-8310



# Texas State Securities Board

208 E. 10th Street. 5th Floor Austin. Texas 78701-2407 www.ssb.state.tx.us JACK D. LADD CHAIRMAN

BRYANK. BROWN

BETH ANN BLACKWOOD MEMBER

WILLIAM R. SMITH MEMBER

EDWARD ESCUDERO MEMBER

IN THE MATTER OF THE INVESTMENT ADVISER REPRESENTATIVE REGISTRATION OF LYNN MARIE MIORI

000000

**Order NO.** I C 0 7 - C E N - 1 2

TO: Lynn Marie Miori (CRD No. 3051286) Keller & Associates CPAS 101 South Main St., Suite 300 Victoria, TX 77901

#### **DISCIPLINARY ORDER**

Be it remembered that Lynn Marie Miori ("Respondent"), appeared before the Securities Commissioner of the State of Texas ("Securities Commissioner") and consented to the entry of this Order and the Findings of Fact and Conclusions of Law contained herein.

### **FINDINGS OF FACT**

- 1. Respondent has waived (a) Respondent's right to notice and hearing in this matter; (b) Respondent's right to appear and present evidence in this matter; (c) Respondent's right to appeal this Order; and (d) all other procedural rights granted to the Respondent by The Securities Act, TEX. REV. CIV. STAT. ANN. art. 581-1 et seq. (Vernon 1964 & Supp. 2006)("Texas Securities Act"), and the Administrative Procedure Act, TEX. GOV'T CODE ANN. § 2001.001 et seq. (Vernon 2000 & Supp. 2006)("Administrative Procedure Act").
- 2. From on or about February 6, 1998 to the present, Keller & Associates CPAS ("Keller") has been registered under the Investment Advisers Act of 1940 with the United States Securities and Exchange Commission as an investment adviser, and is a federal covered investment adviser that is notice-filed with the Securities Commissioner.
- 3. From on or about March 23, 1999 to on or about December 31, 2002, Respondent was registered with the Securities Commissioner as an investment adviser representative of Keller.

## **ORDER**

It is therefore ORDERED that Lynn Marie Miori is hereby REPRIMANDED.

SIGNED AND ENTERED BY THE SECURITIES COMMISSIONER this \_\_\_\_\_\_\_ day of \_\_\_\_\_\_\_\_, 2007.

Lenise Voigt Gawford DENISE VOIGT CRAWFORD

Securities Commissioner

Respondent:

Approved as to Form:

Benette L. Zivley

Director

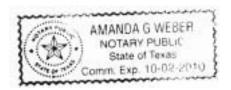
Inspections and Compliance Division

Lee Polson, Esq. Attorney for Respondent

#### **ACKNOWLEDGMENT**

On the \_\_\_\_\_ day of \_\_\_\_\_\_, 2007, Lynn Marie Miori ("Respondent"), personally appeared before me, executed the foregoing Order, and acknowledged:

- 1. Respondent has read the foregoing Order;
- 2. Respondent has been fully advised of her rights under the Texas Securities Act and the Administrative Procedure Act;
- 3. Respondent knowingly and voluntarily consents to the entry of the foregoing Order and the Findings of Fact and Conclusions of Law contained therein; and,
- 4. Respondent, by consenting to the entry of the foregoing Order, has knowingly and voluntarily waived her rights as set forth therein.



[affix notary seal here]

Notary Public in and for the State of

My commission expires on: 10-02-2010